

Docket No. 9847-0036-6X PCT
ENKEL 8295



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ERLAND SORENSEN ET AL

SERIAL NO: 09/508,685

GAU: 2834

RCE FILED: HEREWITH

EXAMINER: Perez, G.

FOR: ROTARY ELECTRIC MACHINE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the attached pending application Serial No. 09/541,523, and copies of the references cited by the Examiner in an Office Action in application Serial No. 09/541,523. These references are believed to be relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 filed February 17, 1999 to the Patent Office consistent with the requirements in the Response to Petition.

A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION


- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Gregory J. Maier
Registration No. 25,599

Bradley D. Lytle
Registration No. 40,073



Tel. (703) 414-2000
Fax. (703) 413-2220
(OSMMN 10/98)

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PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

9847-0036-6X PCT

SERIAL NO.

09/508,685

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

ERLAND SORENSEN ET AL

FILING DATE

REC FILED HEREWITH

GROUP

2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,820,048	6/74	Ohta et al			
	AB	4,326,181	4/82	Allen			
	AC	4,552,990	11/85	Persson et al			
	AD	4,663,603	5/87	van Riemsdijk et al			
	AE	5,175,396	12/92	Emery et al			
	AF	5,239,146	3/94	Aosaki et al			
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	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

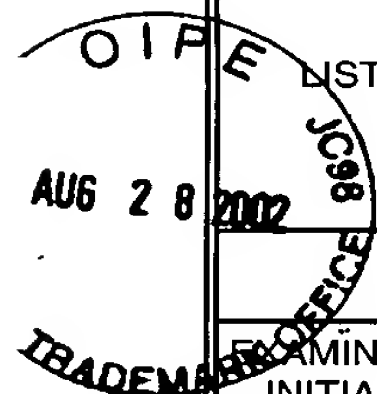
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF RELATED CASES

DOCKET NO.

SERIAL NO.

STATUS

PER CLIENT

09/541,523

PENDING

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09/508685

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1
(Corrected Listing of Original)

Corrected 03/20/00

Docket Number:

9847-0001-6X, PCT

Application Number

09/147,325

Applicant(s):

MATS LEIJON ET AL

Filing Date:

FEBRUARY 17, 1999

Group Art Unit:

2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	1/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	8	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauritz Vos			
	10	US1861182	5/31/32	F. Hendey et al			
	11	US1974406	9/25/34	Vincent G. Apple et al			
	12	US2006170	6/25/35	Gustof A. Juhlin			
	13	US2206856	7/2/40	W. E. Shearer			
	14	US2217430	10/8/40	R. A. Baudry			
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	16	US2251291	8/5/41	L. O. Reichelt			
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	20	US2424443	7/22/47	B. C. Evans			
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INFORMATION DISCLOSURE CITATION LIST

ALTERNATE FORM PTO-1449

(Corrected Listing of Original List)

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470 rec'd PCT/PTO 28 MAR 2000

	45	US3418530	11/24/68	W. H. Cheever			
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Examiner

Date

Considered

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INFORMATION DISCLOSURE CITATION LIST

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249	US5550410	8/27/96	C. Titus			
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Examiner

Date

Considered

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INFORMATION DISCLOSURE CITATION LIST

ALTERNATE FORM PTO-1449

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18	OD018	Zur Geschichte der Brown Boveri-Synchron-Maschinen; Vierzig Jahre Generatorbau; Jan-Feb 1931 pp15-39
19	OD019	Technik und Anwendung moderner Tauchpumpen; A. Heumann; 1987

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INFORMATION DISCLOSURE CITATION LIST

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(Corrected Listing of Original List)

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	20	OD020	High capacity synchronous generator having no tooth stator; V.S. Kildishev et al; No.1, 1977 pp11-16.
	21	OD021	Der Asynchronmotor als Antrieb stopfbereichloser Pumpen; E. Picmaus; Elektrotechnik und Maschinenbau No. 78, pp153-155, 1961
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	25	OD025	Power System Stability and Control; P. Kundur, 1994; pp23-25; page 767
	26	OD026	Six phase Synchronous Machine with AC and DC Stator Connections, Part II: Harmonic Studies and a proposed Uninterruptible Power Supply Scheme; R. Schiferl et al.; 8/1983 pp 2694-2701
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	28	OD028	Reactive Power Compensation; T. Petersson; 1993; pp 1-23
	29	OD030	Permanent Magnet Machines; K. Binns; 1987; pp 9-1 through 9-26
	30	OD031	Hochspannungsanlagen for Wechselstrom; 97. Hochspannungsaufgaben an Generatoren und Motoren; Roth et al; 1938; pp452-455
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INFORMATION DISCLOSURE CATION LIST ALTERNATE FORM PTO				Docket Number: 9847-000146X PCT/PTO		Application Number 09/147,325	
Issue 2: dated 02/21/00				Applicant(s): MATS LEIJON ET AL		28 MAR 2000	
				Filing Date: FEBRUARY 17, 1999		Group Art Unit: 2834	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Akstien-gesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
	4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
	5	WO 98/20602	5/14/1998	Soren Berggren		
	6	WO 98/34239	8/6/1998	Gunnar Steneorps-gatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
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INFORMATION DISCLOSURE CITATION
ALTERNATE FORM PTO-1449

Issue2: dated 02/21/00

4:3 Need PCT/PTO

28 MAR 2000

OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

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